



Attorney Docket No. 15162/05680

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Application of:

Hideki KAWAI

For:

GLASS SUBSTRATE FOR AN INFORMATION  
RECORDING MEDIUM AND INFORMATION  
RECORDING MEDIUM EMPLOYING IT

Confirmation No.:

8210

U.S. Serial No.:

10/784,447

Filed:

February 23, 2004

Group Art Unit:

1755

Examiner:

To Be Assigned

**MAIL STOP AMENDMENT**

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **MAIL STOP AMENDMENT**, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on

JUNE 28, 2007

Date of Deposit

THOMAS N. TARNAY

Name of Applicant, Assignee, or Registered  
Representative

Signature

JUNE 28, 2007

Date of Signature

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.97(e)**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56 and § 1.97(e), Applicant wishes to bring the following items to the attention of the Examiner. A copy of each foreign item is enclosed for the convenience of the Examiner. No copy of the U.S. document is provided as the Patent Office has waived the requirement under 37 C.F.R. 1.98.

No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way

Serial No.: 10/784,447

reflects its relative pertinence. Specifically, this presentation is not an admission that the items listed below are properly citable against the above-identified application.

Submitted herewith is:

- (1) Singapore "Invitation to Respond to Written Opinion" with Australian "Written Opinion", having a mailing date of April 9, 2007, concerning Singapore Patent Application No. 2006022585-2;
- (2) Japanese Patent Application Laid-Open No. (A) 2000-207730, (ISHIZUKA GLASS CO LTD), published July 28, 2000;
- (3) Watanabe et al, U.S. Patent No. 6,312,841 B1, issued November 6, 2001; and
- (4) European Patent No. 0 917 135 (B1) (HOYA CORPORATION), issued August 16, 2006. The published application WO 98/55993 was included in the Supplemental Information Disclosure Statement mailed June 29, 2005 and filed with the Patent Office on July 1, 2005.

The above references (2)-(4) are cited in item (1), "Written Opinion", which indicates the degree of relevance found by the foreign office. No copy of document (2) is provided as this document was included in the Supplemental Information Disclosure Statement mailed June 29, 2005 and filed with the Patent Office on July 1, 2005.

In accordance with 37 C.F.R. § 1.97(e), it is hereby certified that each item of information contained in this Supplemental Information Disclosure Statement is being filed within three months of being first cited in a foreign communication in a counterpart foreign application and additionally, as a first office action on the merits has not been received, no fee is incurred. If it should be determined that a fee is required, please charge any required fee (other than the issue fee) during the pendency of this application

Serial No.: 10/784,447

to Sidley Austin LLP's Deposit Account No. 18-1260. Please credit any overpayment to  
Deposit Account No. 18-1260.

Respectfully submitted,

By: 

Thomas N. Tarnay  
Registration No. 41,341  
Attorney for Applicant

TNT/llb  
SIDLEY AUSTIN LLP  
717 North Harwood  
Suite 3400  
Dallas, Texas 75201  
(214) 981-3388 Direct  
(214) 981-3300 Main  
(214) 981-3400 Facsimile  
June 28, 2007

Substitute for form 1449A/PTO.

**Complete if Known**

Application Number	10/784,447
Filing Date	February 23, 2004
Confirmation No.	8210
First Inventor	Hideki KAWAI
Group Art Unit	1755
Examiner Name	To Be Assigned
Attorney Docket No.	15162/05680

**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

 Sheet      of 1
**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite #	DOCUMENT NUMBER	C O D E	PATENTEE	ISSUE DATE (mm/dd/yy)	CLASS	SUB CLASS	Filing Date if Appropriate
	(3)	6,312,841	B1	Watanabe et al	11/06/01	348	222.1	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials		O F F I C E	NUMBER	C O D E	ISSUE DATE (mm/dd/yy)	TRANSLATION Yes      No
	(4)	EP	0 917 135	B1	08/16/06	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	(1)	Singapore "Invitation to Respond to Written Opinion" with Australian "Written Opinion", having a mailing date of April 9, 2007, concerning Singapore Patent Application No. 2006022585-2
Examiner Signature		Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.